



Attorney Docket No. A2000-700119

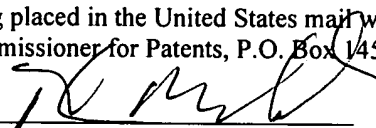
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Frank Masciarelli et al.  
Serial No.: 10/764,344  
Filing Date: January 23, 2004  
For: METHODS AND APPARATUS FOR PROVIDING  
UNINTERRUPTIBLE POWER  
Examiner: R. Deberandinis  
Art Unit: 2836  
Confirmation No.: 5778

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 13th day of October, 2006.

  
Thomas M. Sullivan, Esq. (Reg. No. 39,392)

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**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT FILED PURSUANT  
TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicants request consideration of this Information Disclosure Statement.

**PART I: Compliance with 37 C.F.R. §1.97**

This Information Disclosure Statement has been filed more than three months after the filing date of this application and after the mailing date of the first Office Action, but before the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in this application.

The fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) is enclosed.

Applicant(s): Frank Masciarelli et al.  
U.S.S.N.: 10/764,344

PART II: Information Cited

The Applicants hereby make of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicants make no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).


By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicants, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

Applicant(s): Frank Masciarelli et al.  
U.S.S.N.: 10/764,344

An early and favorable action is hereby requested.

Respectfully submitted,

By:   
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Dated: October 13, 2006  
Attorney Docket No.: A2000-700119



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|--|---|----|---|--|--|--------------------------------|--|--|--|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b> |   |    |   | APPLICATION NO.: 10/764,344            |  | ATTY. DOCKET NO.: A2000-700119 |  |  |  |
|  |   |    |   | FILING DATE: January 23, 2004          |  | CONFIRMATION NO.: 5778         |  |  |  |
|  |   |    |   | APPLICANT(S): Frank Masciarelli et al. |  |                                |  |  |  |
|  |   |    |   | GROUP ART UNIT: 2836                   |  | EXAMINER: R. Deberadinis       |  |  |  |
| Sheet  | 1 | of | 1 |  |  |                                |  |  |  |

**U.S. PATENT DOCUMENTS**

| Examiner's<br>Initials | Cite<br>No. | U.S. Patent Document |              | Name of Patentee or Applicant of Cited Document | Date of Publication or of issue<br>of Cited Document<br>MM-DD-YYYY |
|------------------------|-------------|----------------------|--------------|---|--|
|                        |             | Number               | Kind<br>Code |   |  |
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|                        | A84         | *2003/0052644        | A1           | Nelson et al.                                   | 03/20/2003   |

**FOREIGN PATENT DOCUMENTS**

| Examiner's<br>Initials | Cite<br>No. | Foreign Patent Document |           |              | Name of Patentee or Applicant of Cited<br>Document<br>(not necessary) | Date of<br>Publication of<br>Cited Document<br>MM-DD-YYYY | Translation<br>(Y/N) |  |
|------------------------|-------------|-------------------------|-----------|--------------|---|---|----------------------|--|
|                        |             | Office/<br>Country      | Number    | Kind<br>Code |   |   |                      |  |
|                        | B3          | EP                      | 0 574 628 | A1           | Lumen Electronics Corp.   | 12/22/1993  |                      |  |
|                        | B4          |                         |           |              |   |   |                      |  |

**OTHER ART — NON PATENT LITERATURE DOCUMENTS**

| Examiner's<br>Initials | Cite<br>No. | Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item<br>(book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s),<br>publisher, city and/or country where published. | Translation<br>(Y/N) |
|------------------------|-------------|---|----------------------|
|                        | C5          |   |                      |
|                        | C6          |   |                      |
| EXAMINER               |             | DATE CONSIDERED   |                      |

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*a copy of this reference is not provided either because it was previously cited by or submitted to the office in a prior application, Serial No. \_\_, filed \_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications) or because a copy of this reference need not be provided as the reference is a published U.S. Patent or U.S. Patent application and the present application was filed after June 30, 2003.

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]